

# LAWYERS PROFESSIONAL LIABILITY PRELIMINARY PREMIUM INDICATION WORKSHEET

Firm Name: \_\_\_\_\_  
 Address: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_  
 Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

### Law Firm Information

Establishment Date: \_\_\_\_\_  
 Number of Support Staff: \_\_\_\_\_ Of Counsel \_\_\_\_\_  
**Number of Attorneys, IC's, OC's & Years with the firm**  
 (Exclude "Of Counsel" working < 20 hrs/week)

Years with firm	Number of attorneys
5+ years	_____
4 years	_____
3 years	_____
2 years	_____
1 year	_____
< than 6 months	_____

Number of attorneys participating in CLE during the past twelve months? \_\_\_\_\_  
 Firm's estimated annual gross income? \_\_\_\_\_  
 Single client annual gross revenues > 50%? Y\_\_\_ N\_\_\_

### Internal Controls

Do you maintain a Docket Control System with at least two independent date controls? Y\_\_\_ N\_\_\_  
 Is a Conflict of Interest System maintained? Y\_\_\_ N\_\_\_  
 Are engagement and non-engagement letters used on a regular basis? Y\_\_\_ N\_\_\_

### Current Insurance

Carrier: \_\_\_\_\_  
 Limits & Deductible: \_\_\_\_\_  
 Premium: \_\_\_\_\_  
 Retroactive/Prior Acts Date: \_\_\_\_\_  
 Policy Term: \_\_\_\_\_  
 Date of first continuous claims-made coverage: \_\_\_\_\_

### Claim History

Are you aware of any claims against your firm or any incidents that could result in a claim against your firm within the past five years? Y\_\_\_ N\_\_\_ If "YES", how many? \_\_\_\_\_ Please provide specific details of each, including a description of the allegations, current reserve and/or indemnity paid, expenses paid, etc.  
 Has any member of the applicant firm been refused admission to practice, disbarred, suspended, reprimanded, sanctioned, or held in contempt by the court administrative agency or regulatory body? Y\_\_\_ N\_\_\_ If "YES", please provide details.

### Area of Practice Percentages (percentages must total 100%)

Administration	%	Divorce	%	Public Utilities	%
Admiralty/Maritime	%	Family Law (all other)	%	Real Estate/Commercial	%
Antitrust/Trade Regulation	%	Employee Benefits	%	Real Estate/Residential	%
Arbitration/Mediation	%	Entertainment/Sports	%	School Law	%
Banking/Financial Institutions	%	Environmental	%	Securities	%
Bankruptcy	%	Foreign/International	%	Social Security/Elder Law	%
BI/PI Defense	%	Healthcare	%	Tax/Corporate	%
BI/PI Plaintiff	%	Insurance	%	Tax/Individual	%
Civil Rights/Discrimination	%	Investments/Money Mgmt	%	Water Rights	%
Collection/Repossession	%	Labor Law/Management	%	Wills/Estate Planning/Probate	%
Communication/FCC	%	Labor Law/Union	%	Work Comp/Defense	%
Copyright/Trademark	%	Mergers & Acquisitions	%	Work Comp/Plaintiff	%
Corporate-Formation	%	Municipal	%	Other (describe):	
Corporate-General	%	Oil/Gas/Minerals	%		%
Criminal	%	Patent	%		%
				<b>TOTAL:</b>	<b>100%</b>

**PLEASE NOTE:** ANY PRELIMINARY PREMIUM INDICATION PROVIDED BASED ON THE COMPLETION OF THIS FORM IS NOT BINDING ON THE HARTFORD FIRE INSURANCE COMPANY AND ITS AFFILIATES AND SUBSIDIARIES ("THE HARTFORD"). IT DOES NOT OBLIGATE THE HARTFORD TO BIND COVERAGE AND /OR ISSUE AN INSURANCE POLICY UNTIL YOU HAVE SATISFIED ANY SUBJECTIVITIES OR CONDITIONS OF THE QUOTE LETTER. THE HARTFORD RETAINS THE RIGHT TO DECLINE TO QUOTE BASED UPON RISK ASSESSMENT.



Submitting Agency: \_\_\_\_\_  
 Contact Person: \_\_\_\_\_ Phone #: \_\_\_\_\_